



## KIMAYA SECURITIES AND FINANCIAL SERVICES PVT LTD

### Annexure-B

**Format for Investor Complaints Data to be displayed by Stock Brokers on their respective websites**

#### Data for every month ending

| S<br>N | Receive<br>d from             | Carried<br>forward<br>from pr<br>vious<br>month | Receive<br>d during<br>the mon<br>th | Total P<br>ending | Resolve<br>d* | Pending at the en<br>d of the month**            |                                                  | Average<br>Resoluti<br>on<br>time^(in<br>days) |
|--------|-------------------------------|-------------------------------------------------|--------------------------------------|-------------------|---------------|--------------------------------------------------|--------------------------------------------------|------------------------------------------------|
|        |                               |                                                 |                                      |                   |               | Pendin<br>g<br>for<br>less tha<br>n 3 mon<br>ths | Pendin<br>g<br>for<br>more tha<br>n 3 mon<br>ths |                                                |
| 1      | 2                             | 3                                               | 4                                    | 5                 | 6             | 7                                                |                                                  | 8                                              |
| 1      | Directly<br>from<br>Investors | 0                                               | 0                                    | 0                 | 0             | 0                                                |                                                  | --                                             |
| 2      | SEBI<br>(SCORE<br>S)          | 0                                               | 0                                    | 0                 | 0             | 0                                                |                                                  | --                                             |
| 3      | Stock<br>Exchang<br>es        | 0                                               | 0                                    | 0                 | 0             | 0                                                |                                                  | --                                             |
| 4      | Other<br>Sources<br>(if any)  | 0                                               | 0                                    | 0                 | 0             | 0                                                |                                                  | --                                             |
| 5      | <b>Grand<br/>Total</b>        | 0                                               | 0                                    | 0                 | 0             | 0                                                |                                                  | --                                             |

### Trend of monthly disposal of complaints

| SN | Month              | Carried forward from previous month | Received | Resolved* | Pending** |
|----|--------------------|-------------------------------------|----------|-----------|-----------|
| 1  | 2                  | 3                                   | 4        | 5         | 6         |
| 1  | April-2026         | 0                                   | 0        | 0         | ---       |
| 2  | <b>MAY-2026</b>    | 0                                   | 0        | 0         | ---       |
| 3  | <b>JUNE -2026</b>  | 0                                   | 0        | 0         | ---       |
| 4  | <b>JULY 2026</b>   | 0                                   | 0        | 0         | ---       |
|    | <b>GRAND TOTAL</b> |                                     |          |           |           |

\*Should include complaints of previous months resolved in the current month, if any.

\*\*Should include total complaints pending as on the last day of the month, if any.

^Average resolution time is the sum total of time taken to resolve each complaint in the current month divided by total number of complaints resolved in the current month.

### Trend of annual disposal of complaints

| SN | Year                          | Carried forward From previous year | Received during the year | Resolved During the year | Pending at The end of the year |
|----|-------------------------------|------------------------------------|--------------------------|--------------------------|--------------------------------|
| 1  | 2017-18                       | 0                                  | 0                        | 0                        | 0                              |
| 2  | 2018-19                       | 0                                  | 0                        | 0                        | 0                              |
| 3  | 2019-20                       | 0                                  | 0                        | 0                        | 0                              |
| 4  | 2020-21                       | 0                                  | 0                        | 0                        | 0                              |
| 5  | 2021-22                       | 0                                  | 0                        | 0                        | 0                              |
| 6  | 2022-23                       | 0                                  | 0                        | 0                        | 0                              |
| 7  | <b>2023-24</b>                | 0                                  | 0                        | 0                        | 0                              |
| 8  | <b>2024-25</b>                | 0                                  | 0                        | 0                        | 0                              |
| 9  | <b>2025-26</b>                | 0                                  | 0                        | 0                        | 0                              |
| 10 | <b>2026-27 (UPTO JULY 26)</b> | 0                                  | 0                        | 0                        | 0                              |
|    | <b>GRAND TOTAL</b>            | 0                                  | 0                        | 0                        | 0                              |